

# A canonical basis for covering quantum groups

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# QUANTUM GROUPS

$q$ : a generic parameter;

$\mathfrak{g}$ : a Kac-Moody algebra with simple roots  $\Pi = \{\alpha_i : i \in I\}$ .

$U_q(\mathfrak{g})$  is the  $\mathbb{Q}(q)$  algebra with generators  $E_i, F_i, K_i^{\pm 1}$  for  $i \in I$ .

$U_q(\mathfrak{n}^-)$ , the subalgebra generated by  $F_i$ .

$U_q(\mathfrak{n}^-)$  has many interesting properties, e.g.

- ▶ Lusztig-Kashiwara *canonical basis*;
- ▶ categorifications of Khovanov-Lauda and Rouquier;

$U_q(\mathfrak{g})$  admits a categorification for its *modified form* [L, KL, R].

# HALF QUANTUM SUPERGROUPS

$\mathfrak{g}$ : an **anisotropic Kac-Moody superalgebra** with  $\mathbb{Z}/2\mathbb{Z}$ -graded simple roots  $\Pi = \Pi_{\bar{0}} \sqcup \Pi_{\bar{1}} = \{\alpha_i : i \in I\}$

$U_q(\mathfrak{n}^-)$ : algebra generated by  $F_i$  satisfying *super* Serre relations.  
Was not expected to admit a canonical basis.

Super KLR= quiver Hecke superalgebras  
(Ellis-Khovanov-Lauda in rank 1, Kang-Kashiwara-Tsuchioka independently defined the general construction)

[Hill-Wang]  $U_q(\mathfrak{n}^-)$  is categorified by QHSA's.  
 $\Rightarrow$  It has a categorical canonical basis.

Is there an intrinsic canonical basis à la Lusztig, Kashiwara?

## INSIGHT FROM [HW]

Anisotropic super and non-super are formally similar

**Key Insight [HW]:** use a parameter  $\pi^2 = 1$  for super signs

- ▶  $\pi = 1 \rightsquigarrow$  non-super case.
- ▶  $\pi = -1 \rightsquigarrow$  super case.

There is a bar involution on  $\mathbb{Q}(q)^\pi = \mathbb{Q}(q, \pi)/(\pi^2 - 1)$  given by

$$q \mapsto \pi q^{-1} \quad (\pi^2 = 1)$$

and quantum integers

$$[n] = \frac{(\pi q)^n - q^{-n}}{\pi q - q^{-1}}, \quad [n]!, \quad \begin{bmatrix} n \\ a \end{bmatrix} \in \mathbb{Z}[q, q^{-1}].$$

giving  $U_q(\mathfrak{n}^-)$  a suitable bar-invariant integral form.

# ANISOTROPIC KM

We consider a KM superalgebra with GCM  $A$  indexed by  $I = I_0 \amalg I_1$  (simple roots) and satisfying:

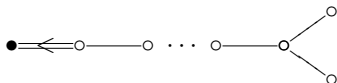
- ▶  $a_{ij} \in \mathbb{Z}, a_{ii} = 2, a_{ij} \leq 0$
- ▶ there exist positive symmetrizing coefficients  $d_i$   
( $d_i a_{ij} = d_j a_{ji}$ )
- ▶ (anisotropy)  $a_{ij} \in 2\mathbb{Z}$  for  $i \in I_1$

We call these “of anisotropic type”. We will also impose:

- ▶ (bar-compatibility)  $d_i \equiv_2 p(i)$

## EXAMPLES

(●=odd root)



## KNOWN FACTS ABOUT KM SUPER

Quantized Lie superalgebras have been well studied  
(Benkart, Jeong, Kang, Kashiwara, Kwon, Musson, Zou, ...)

Some key coincidences exist for anisotropic KM:

- ▶  $\mathfrak{osp}(1|2n)$  reps “=” half of  $\mathfrak{so}(2n+1)$  reps  
(R.B. Zhang, Lanzmann)
- ▶ Over  $\mathbb{C}(q)$ ,  $U_q(\mathfrak{osp}(1|2n))$  miraculously has the missing reps. (Musson-Zou)

[CW]:  $U_q(\mathfrak{osp}(1|2))/\mathbb{Q}(q)$  can be tweaked to get all reps.

$$EF - \pi FE = \underbrace{\frac{K - K^{-1}}{\pi q - q^{-1}}}_{\text{even h.w.}} \quad \text{or} \quad \underbrace{\frac{\pi K - K^{-1}}{\pi q - q^{-1}}}_{\text{odd h.w.}}$$

## DEFINITION [CHW1]

Let  $\mathfrak{g}$  be a KM superalgebra of anisotropic type,  $A$  its symmetrizable GCM.

Let  $U = U_q(\mathfrak{g})$  be the  $\mathbb{Q}(q)$ -algebra with generators  $E_i, F_i, K_i^{\pm 1}, J_i$  such that

$$J_i^2 = 1, \quad J_i K_i = K_i J_i, \quad J_i J_j = J_j J_i, \quad K_i K_j = K_j K_i,$$

$$J_i E_j J_i^{-1} = \pi^{a_{ij}} E_j, \quad K_i E_j K_i^{-1} = q^{a_{ij}} E_j,$$

$$J_i F_j J_i^{-1} = \pi^{-a_{ij}} F_j, \quad K_i F_j K_i^{-1} = q^{-a_{ij}} F_j,$$

$$E_i F_j - \pi^{p(i)p(j)} F_j E_i = \delta_{ij} \frac{J_i^{d_i} K_i^{d_i} - K_i^{-d_i}}{(\pi q)^{d_i} - q^{-d_i}};$$

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \pi^{p(k;i,j)} E_i^{(1-a_{ij}-k)} E_j E_i^{(k)} = \sum_{k=0}^{1-a_{ij}} (-1)^k \pi^{p(k;i,j)} F_i^{(1-a_{ij}-k)} F_j F_i^{(k)} = 0,$$

where  $p(k; i, j) = kp(i)p(j) + \frac{1}{2}k(k-1)p(i)$ .



# RANK 1

For  $U_q(\mathfrak{osp}(1|2))$

Generators:  $E, F, K^{\pm 1}, J$

Relations:

$$\begin{aligned}
 J^2 &= 1, & JK &= KJ, \\
 JEJ^{-1} &= E, & KEK^{-1} &= q^2 E, \\
 JFJ^{-1} &= F, & KFK^{-1} &= q^{-2} F, \\
 EF - \pi F_j E_i &= \frac{JK - K^{-1}}{\pi q - q^{-1}};
 \end{aligned}$$

(If  $h$  is the Cartan element,  $K = q^h$  and  $J = \pi^h$ .)

We call this covering quantum  $\mathfrak{osp}(1|2)$  or  $\mathfrak{sl}(2)$  ( $\pi = 1$  case)

# FINITE TYPE

The only finite type covering algebras have Dynkin diagrams



This diagram corresponds to

- ▶ the Lie superalgebra  $\mathfrak{osp}(1|2n)$
- ▶ the Lie algebra  $\mathfrak{so}(1 + 2n)$

(NB. There is no "covering  $\mathfrak{sl}(n)$ " in this construction)

# STRUCTURES IN A COVERING QUANTUM GROUP

$U$  has all the nice features you could hope for:

- ▶  $U = U^- \otimes U^0 \otimes U^+$ ;
- ▶  $U^-$  admits a nondegenerate bilinear form;
- ▶ there is a Hopf superalgebra structure (super sign  $\mapsto \pi$ );
- ▶ there is a bar involution ( $K \mapsto JK^{-1}$ );
- ▶ there is a quasi- $R$ -matrix and Casimir-type operator;

# REPRESENTATIONS

Let  $P$  ( $P^+$ ) be the set of (dominant) weights of  $\mathfrak{g}$ .

A weight module is a  $U_q(\mathfrak{g})$ -module  $M = \bigoplus_{\lambda \in P} M^\lambda$ , where

$$M^\lambda = \left\{ m \in M : K_i m = q^{\langle h_i, \lambda \rangle} m, \quad J_i m = \pi^{\langle h_i, \lambda \rangle} m \right\}.$$

We can define highest-weight and integrable modules as usual to obtain a semi-simple category  $\mathcal{O}_{\text{int}}$ .

Simple modules:  $V(\lambda)$  for **all**  $\lambda \in P^+$   
(Same character as in classical case)

# CRYSTALS

To construct a CB, we use the algebraic approach with crystals. Specifically, we construct a covering analogue for

- ▶ Kashiwara operators  $\tilde{e}_i, \tilde{f}_i$ ;
- ▶ the crystal lattice;
- ▶ the action of the  $q$ -Boson algebra;
- ▶ the polarizations (= deformed Shapovalov forms);
- ▶ the tensor product rule;

Kashiwara's grand loop argument can be extended to the covering case.

Moreover, this crystal basis admits globalization to a canonical basis.

# CANONICAL BASIS

## Theorem (C-Hill-Wang)

$U^-$  and the integrable modules admit compatible canonical bases.

Let  $B$  be the canonical basis of  $U^-$ .

- ▶ If  $v_\lambda$  is the highest weight vector of  $V(\lambda)$ ,

$$bv_\lambda = 0 \text{ or is a CB element.}$$

- ▶  $B|_{\pi=1}$  = the Lusztig-Kashiwara CB
- ▶  $B$  is typically  $\pi$ -signed:  $b \in B$  implies  $\pi b \in B$ .

**Example:**  $a_{ij} = 0, p(i) = p(j) = 1$

$$F_i F_j = \pi F_j F_i$$

(Categorically:  $M$  is not isomorphic to its parity shift  $\Pi M$ .)

## MODIFIED FORM

Basic idea:  $1 \rightsquigarrow \sum_{\lambda \in P} 1_\lambda$  with  $1_\lambda 1_\eta = \delta_{\lambda, \eta} 1_\lambda$

For  $x \in U$ , let  $|x|$  be the weight.

$\dot{U}$  is the algebra on symbols  $x1_\lambda = 1_{\lambda+|x|}x$  for  $x \in U$ ,  $\lambda \in P$  satisfying

$$(xy)1_\lambda = x1_{\lambda+|y|}y1_\lambda, \quad J_\mu K_\nu 1_\lambda = \pi^{\langle \mu, \lambda \rangle} q^{\langle \nu, \lambda \rangle} 1_\lambda$$

Any weight  $U$ -module  $M$  is a  $\dot{U}$  module:  
 $x1_\lambda$  acts as projection to  $M^\lambda$  followed by the  $U$ -action of  $x$ .

## SOME PROPERTIES

$\dot{U}$  has some additional useful properties:

- ▶ Automorphisms of  $U$  extend to  $\dot{U}$ ;
- ▶  $\dot{U}1_\lambda \stackrel{v.s.}{=} U^- \otimes U^+$ ;

### Theorem (C.)

*There is a non-degenerate symmetric bilinear form on  $\dot{U}$  which:*

- ▶ *extends the form on  $U^-$ ;*
- ▶ *is invariant under our favorite maps;*
- ▶ *is a limit of polarizations;*

For  $\pi = 1$ , this is Lusztig's form on  $\dot{U}$ .



# RANK 1

$\dot{U}_q(\mathfrak{osp}(1|2))$  is the algebra given by

Generators:  $E1_n = 1_{n+2}E$ ,  $F1_n = 1_{n-2}F$ ,  $1_n$

Relations:  $1_n1_m = \delta_{nm}1_n$  and  $EF1_n - FE1_n = [n]1_n$

Theorem (C-Wang)

$\dot{U}_q(\mathfrak{osp}(1|2))$  admits a canonical basis

$$\dot{B} = \left\{ E^{(a)}1_n F^{(b)}, \pi^{ab} F^{(b)}1_n E^{(a)} \mid a + b \geq n \right\}.$$

(In rank 1, the basis need not be  $\pi$ -signed)

Ellis and Lauda have categorified  $\dot{U}_q(\mathfrak{osp}(1|2))$ .

# CONSTRUCTING THE CB

- ▶  $\dot{U}1_{\lambda-\lambda'}$  projects “nicely” onto  $N(\lambda, \lambda')$  (highest weight  $\otimes$  lowest weight);
- ▶  $N(\lambda, \lambda')$  has a bar involution (Lusztig quasi- $\mathcal{R}$ -matrix);
- ▶  $N(\lambda, \lambda')$  admits a CB (bar involution + CB on simples);
- ▶ The CB of  $N(\lambda, \lambda')$  is compatible with  $N(\lambda + \lambda'', \lambda'' + \lambda')$ ;

These facts allow us to build a basis for  $\dot{U}$ .

# CANONICAL BASIS

## Theorem (C)

*$\dot{U}$  admits a  $\pi$ -signed canonical basis generalizing the basis for  $U^-$ .*

*This basis is  $\pi$ -almost orthonormal under the bilinear form.*

*For  $\pi = 1$ , this specializes to Lusztig's canonical basis for  $\dot{U}|_{\pi=1}$ .*

## SOME RELATED PAPERS

[HW] *Categorification of quantum Kac-Moody superalgebras*, arXiv:1202.2769, to appear in Trans. AMS.

[CW] *Canonical basis for quantum  $\mathfrak{osp}(1|2)$* , arXiv:1204.3940, Lett. Math. Phys. 103 (2013), 207–231.

[CHW1] *Quantum supergroups I. Foundations*, arXiv:1301.1665, to appear in Trans. Groups.

[CHW2] *Quantum supergroups II. Canonical Basis*, arXiv:1304.7837.

[C] *Quantum supergroups IV. Modified form*, forthcoming.

Slides available at

<http://people.virginia.edu/~sic5ag/>

Thank you for your attention!